

1673 Rec'd PCT/PTO 24 MAR 2006

FORM PTO-1390
(REV. 07-2005)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A
SUBMISSION UNDER 35 U.S.C. 371**

187661/US 479212-6
US Express Mail No. EV 69481322 US
March 24, 2006

U.S. APPLICATION NO. (Information, Sec. 37 CFR 4.5)
807575231

INTERNATIONAL APPLICATION NO.
PCT/EP2004/010756

INTERNATIONAL FILING DATE
24 September 2004

PRIORITY DATE CLAIMED
24 September 2003

TITLE OF INVENTION

**ANTIBODIES AGAINST ANNEXINS, USE THEREOF FOR THERAPY AND DIAGNOSIS. USE OF ANNEXINS FOR
THERAPY AND DIAGNOSIS**

APPLICANT(S) FOR DO/EO/US

**PETER KRAMMER
HEIKO WEYD**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not transmitted by the International Bureau).
 - b. ☐ had been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36

Items 11 to 20. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 -
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4)
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

U.S. APPLICATION NO. (IF KNOWN) SEE 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">100573231</div> <div style="text-align: center;">TBD</div>		INTERNATIONAL APPLICATION NO. <div style="text-align: center;">PCT/EP2004/010756</div>		ATTORNEY'S DOCKET NUMBER <div style="text-align: center;">187661/US 479212-6</div>	
21. The following fees have been submitted: <input checked="" type="checkbox"/> a) Basic national fee (37 CFR 1.492(a))..... \$300.00				CALCULATIONS \$ 300.00	PTO USE ONLY
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)--4)..... \$0.00 All other situations..... \$200.00				\$ 200.00	
23. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)--4)..... \$0.00 Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority..... \$100.00 International Search Report prepared and provided to the Office \$400.00 All other situations \$500.00				\$ 400.00	
TOTAL OF 21, 22 and 23 =				\$900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
39 - 100	0 /50 =	0	x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	25 -20 =	5	x \$50.00	\$250.00	
Independent claims	2 -3 =	-1	x \$200.00	\$0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1280.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$640.00	
SUBTOTAL =				\$640.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$640.00	
Fee for recording the enclose assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$640.00	
				Amount to be refunded:	\$
				charged:	\$

1AP2004031 PCT/PTO 24 MAR 2006

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5) <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/573231</div> <div style="text-align: center; font-size: 8pt;">TBD</div>	INTERNATIONAL APPLICATION NO. <div style="text-align: center;">PCT/EP2004/010756</div>	ATTORNEY'S DOCKET NUMBER <div style="text-align: center;">187661/US 479212-6</div>
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a. ☒ A check in the amount \$640.00 to cover the above fees is enclosed.
of

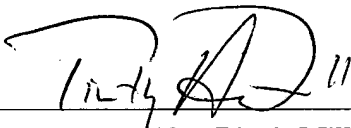
b. ☐ Please charge my Deposit Account No. 50-2319 in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
Deposit Account No. 50-2319 A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO: CUSTOMER NUMBER 32940

Timothy A. Worrall, Esq. Dorsey & Whitney LLP Intellectual Property Department Suite 1000 555 California Street San Francisco, California 94104-1513	<div style="border-bottom: 1px solid black; padding-bottom: 5px;">Signature</div> <div style="text-align: center; margin-top: 20px;">  <div style="border-bottom: 1px solid black; display: inline-block; width: 150px;"></div> </div> <div style="text-align: center; margin-top: 5px;"> Timothy A. Worrall (For Birgit Millauer, Reg. No. 46,341) Registration Number 54,552 </div>
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